

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

15966-562 NATL

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/069,626

INTERNATIONAL APPLICATION NO.

PCT/US00/24220

INTERNATIONAL FILING DATE

31 August 2000 (31.08.2000)

PRIORITY DATE CLAIMED

03 September 1999 (03.09.99)

TITLE OF INVENTION

**POLYNUCLEOTIDES ENCODING MEMBERS OF THE HUMAN B LYMPHOCYTE ACTIVATION ANTIGEN B7  
FAMILY AND POLYPEPTIDES ENCODED THEREBY**

APPLICANT(S) FOR DO/EO/US

**GREEN, Cynthia; KOTELIANSKI, Victor; FOUGEROLLES, Antonin; CARULLI, John; HESSION, Catherine**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 20 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

**Express Mail Label No. EV058280658US filed on 25 July 2002 (25.07.2002);**

**Copy of USPTO Notification of Missing Requirements and Response thereto;**

**Nucleotide/Amino Acid Sequence Submission in Paper and Computer Readable forms, and Statement in Support thereof;  
Petition for Extension of Time;**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/069,626		INTERNATIONAL APPLICATION NO. PCT/US00/24220		ATTORNEY'S DOCKET NUMBER 15966-562 NATL	
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24. The following fees are submitted:.

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :**

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00

☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00

☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =	0	x \$18.00		\$0.00
Independent claims	- 3 =	0	x \$84.00		\$0.00
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>					\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$0.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.					\$0.00
<b>SUBTOTAL =</b>					\$0.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).					\$0.00
<b>TOTAL NATIONAL FEE =</b>					\$0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>					\$0.00
<b>TOTAL FEES ENCLOSED =</b>					\$110.00
					Amount to be: refunded \$
					charged \$

**CALCULATIONS PTO USE ONLY**

a. ☒ A check in the amount of \$110.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0311 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

ELRIFI, Ivor R.  
Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C.  
One Financial Center  
Boston, Massachusetts 02111  
United States of America

SIGNATURE

KARNAKIS, Christina V.

NAME

45,899

REGISTRATION NUMBER

25 July 2002 (25.07.2002)

DATE

Express Mail Label No.: EV058280658US  
Date of Deposit: July 25, 2002

PTO/PCT Rec'd 25 JUL 2002

Attorney Docket No: 15966-562 NATL (CURA-62 NATL)

#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Green, *et al.*  
SERIAL NUMBER: 10/069,626 EXAMINER: Not Yet Assigned  
FILING DATE: February 26, 2002 ART UNIT: Not Yet Assigned  
FOR: POLYNUCLEOTIDES ENCODING MEMBERS OF THE HUMAN B  
LYMPHOCYTE ACTIVATION ANTIGEN B-7 FAMILY AND POLYPEPTIDES  
ENCODED THEREBY

July 25, 2002  
Boston, Massachusetts

U. S. Patent and Trademark Office  
Box Sequence  
P. O. Box 2327  
Arlington, VA 22202

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

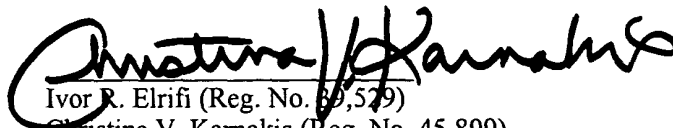
This paper is in response to the Notification of Missing Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures, mailed April 25, 2002. In response to this Notification, Applicants hereby submit a copy of the Notification (2 pages), a Combined Declaration and Power of Attorney for Patent Application (4 pages, two counterparts); a computer readable form of the sequence listing (1 disk); a paper copy of the sequence listing (73 pages); a statement in support of the sequence listing (1 page); a Preliminary Amendment (2 pages); a Petition for Extension of Time (1 page); and Check No. 13471 in the amount of \$110.00 to cover the Petition fee. With extension, this Response is due on July 25, 2002.

Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311 (Reference No. 15966-562 NATL (CURA-62 NATL)). A duplicate of this paper is enclosed herewith.

Applicants: Green, et al.  
USSN: 10/069,626

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,



Ivor R. Elrifi (Reg. No. 39,579)

Christina V. Karnakis (Reg. No. 45,899)

Attorneys for Applicants

c/o MINTZ, LEVIN, COHN, FERRIS, GLOVSKY  
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TRA 1694289v1



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/069,626	Cynthia Green	5966-562NALT NATL

30623

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY  
AND POPEO, P.C.  
ONE FINANCIAL CENTER  
BOSTON, MA 02111

INTERNATIONAL APPLICATION NO.	
PCT/US00/24220	
I.A. FILING DATE	PRIORITY DATE
08/31/2000	09/03/1999

CONFIRMATION NO. 3311

371 FORMALITIES LETTER



\*OC000000007939483\*

Date Mailed: 04/25/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the

requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
- For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/069,626	PCT/US00/24220	15966-562NALT